

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Robert D'Alessio, et al.	Examiner:	Robert H. Havlin
Serial No.:	10/505,200	Art Unit:	1626
Filed:	April 18, 2005	Docket:	17722 (PC27004A)
For:	TRICYCLIC PYRAZOLE DERIVATIVES, PROCESS FOR THEIR PREPARATION AND THEIR USE AS ANTITUMOR AGENTS	Date:	June 6, 2008

Confirmation No.: 5147

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

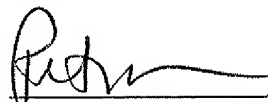
In accordance with 37 C.F.R. §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. European Patent Publication No. 0 276 833 A2, published August 3, 1988;
2. PCT International Publication No. WO 00/27822, published May 18, 2000;
3. PCT International Publication No. WO 00/59901, published October 12, 2000;
4. PCT International Publication No. WO 01/87846 A2, published November 22, 2001;

CERTIFICATE OF ELECTRONIC FILING

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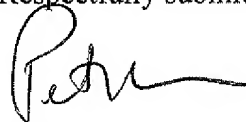
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5. PCT International Publication No. WO 99/55335, published November 4, 1999;
6. European Patent Publication No. 0 276 834 A1, published August 3, 1988;
7. Strakova I.A. et al., "Synthesis and Reactions of 1-(2-Pyridyl)-3-Methyl-4-Chloro-5-Formyl-6, 7-Dihydroindazoles", *Chemistry of Heterocyclic Compounds* 34(6):669-673 (1998);
8. Friedman S.G. et al., "Pyrazolobenzothiazoles and Their Transformation into Cyanine Dyes", *Himia Geterociklicheskih Soedinenij* 3:481-485 (1967);
9. Strakova I.A. et al., "2-Amino-6-Phenyl-7, 8-DihydroindazoloÄ4, 5-Düthiazoles", *Himia Geterociklicheskih Soedinenij* 4:497-500 (1996);
10. Strakov A.Y. et al., "Reaction of 4-Chloro-and 4-Ethoxy-1-Phenyl-3-Methyl-5-Formyl-6, 7-Di Hydroindazoles with Some Nucleophilic Reage", *Akademiya Nauk Latviiskoi S.S.R.*, 2:234-235 (1976);
11. Cagnoli Bellavita N. et al., "Thiocyanation of Aminoindazoles: New Condensed Heterocyclics with a Central Benzo Ring", *Annali Di Chimica*, 58(8/9):823-837 (1968);
12. Strakova I.A. et al., "Reaction of 1-Phenyl-3-Methyl-4-Oxo-4, 5, 6, 7-Tetrahydro Indazole Formylation Products With Nucleophilic Reagents", *Akademiya Nauk Latviiskoi S.S.R.*, 5:610-614 (1974);
13. Strakova I.A. et al., "Reactions of 3-Methyl-1-(2-Pyridyl)-4-Chloro-5-Formyl-6, 7-Dihydroindazole", *Chemistry of Heterocyclic Compounds*, 34(9):1036-1040 (1998); and
14. Mullock E.B. et al., "Syntheses of Heterocyclic Compounds, Part XXI Oxazoles from Pyrolysis of Aryl and Heterocyclic Azides in a Mixture of Acetic and Polyphosphoric Acid", *Journal of the Chemical Society*, 15:1937-1940 (1968).

The references were cited in an International Search Report dated December 9, 2003 received from the European Patent Office. Applicants are submitting copies of the above-cited references required by 37 C.F.R. §1.98 (a)(2)(i) and (ii). Please note that the International Search Report is not provided as it was previously submitted by the applicants on August 19, 2004. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. §1.97(c), please charge the \$180.00 fee to deposit account no. 19-1013/SSMP.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Peter I. Bernstein', written over a horizontal line.

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